

## Optics Reference Chart

### Geometric Optics Definitions

**Focal Point (F1,F2)** : Point(s) where light rays parallel to the optical axis will come to a focus.

**Paraxial Approximation**: Limiting case that the rays from the object are near to the optical axis and have a small angle of deviation from being parallel to the optical axis.

**Principal Surface (PS1,PS2)**: Surfaces defined as the intersection points between the extended rays entering the optical system and those exiting the optical system.

**Principal Points**: Intersection points of the principal surfaces with the optical axis.

**Effective Focal Length (EFL)**: Focal length of a group of optical systems.

**Backward Focal Length (BFL)**: Distance from last physical surface of optical system to the exit focal point.

**Working Distance**: Distance from last physical optical surface to the focal point.

**Numerical Aperture (NA)**: Sine of the angle defining the cone of acceptance for the optical system.

**f/#**: A parameter which is given by the focal length of a lens divided by its aperture defined by light from infinity. If light is from object at a finite distance then the working f/# is given by  $1/(2 \cdot NA)$ .

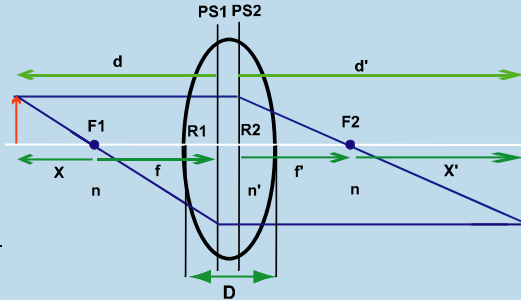
**Aperture Stop**: A surface which limits the angle of axial cone of rays from the object.

**Exit Pupil**: Image of the aperture stop as viewed from image plane at the exit of the optical system.

**Entrance Pupil**: Image of aperture stop as viewed from an axial point on the object.

**Chief Ray**: A ray which passes through the center of the aperture of the optical system with no angular reflection.

**Marginal Ray**: A ray which travels parallel to the optical axis at the edge of the aperture.



**Sagittal Plane, Ray**: Plane, and rays which travel in plane, not containing the optical axis.

**Tangential or Meridional Plane, Ray**: Plane, and rays which travel in plane, containing the optical axis.

**Spherical Aberration**: Deviation of focus from ideal paraxial focus due to increase in aperture of system.

**Astigmatism**: Aberration caused by different focal lengths for tangential and sagittal rays.

**Chromatic Aberration**: Deviation of focus for different wavelengths of light cause by variations in index of refraction as a function of wavelength.

**Coma**: Aberration caused by the change in magnification as the aperture size is altered.

**Distortion**: Difference in image height from that given by paraxial ray trace for off axis point.

### Some First Order Optical Relationships

#### Thin Lens

$$\frac{1}{f} = \left(\frac{n'}{n} - 1\right) \left[ \frac{1}{R1} - \frac{1}{R2} \right]$$

#### Thick Lens

$$\frac{1}{f} = \left(\frac{n'}{n} - 1\right) \left[ \frac{1}{R1} - \frac{1}{R2} + \frac{D(n-1)}{nR1 \cdot R2} \right]$$

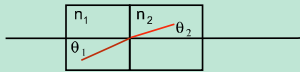
If  $n=1$ :

$$\frac{1}{d'} - \frac{1}{d} = \frac{1}{f} \quad \text{and} \quad \text{magnification } m = \frac{d'}{d} = \frac{f}{x} = -\frac{x'}{f}$$

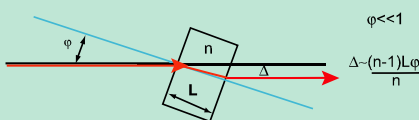
- R1: Radius of Curvature of First Surface ( positive if center of radius to the right of surface )
- R2: Radius of Curvature of Second Surface ( positive if center of radius to the right of surface )
- d: Distance from principal plane to object ( positive if to the right of reference point )
- x: Distance from first focal point to object ( positive if to the right of reference point )
- d': Distance from principal plane to image ( positive if to the right of reference point )
- x': Distance from second focal point to image ( positive if to the right of reference point )
- m: Magnification of system (negative if image is inverted)
- n': Index of Refraction of lens
- n: Index of Refraction of surrounding medium

### Useful Formulas and Approximate Relations (Ray Trace and First Order Optics, Angles in Radians)

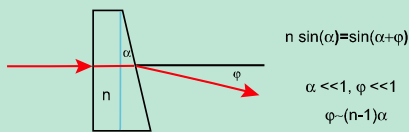
**Snell's Law**  $n_1 \sin(\theta_1) = n_2 \sin(\theta_2)$



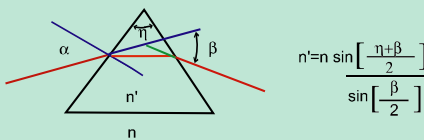
#### Deflection of Ray by Tilted Parallel Slab



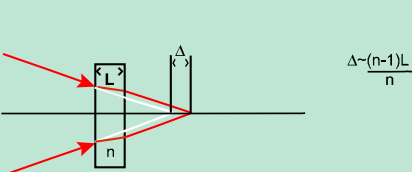
#### Angular Deflection of Ray by Wedge (Normal Incidence)



#### Minimum Deviation Angle of Ray by Prism Occurs For:



#### Focus Shift Produced by Parallel Slab Perpendicular to Optical Axis



### Physical Optics

**Fraunhofer Diffraction**: Diffraction in the limit when the object point and image point are far from the aperture and the optical path difference can be expressed as a linear function of the aperture coordinates.

**Rayleigh Criterion**: Relation for specifying the limiting resolution of an optical system due to the wave nature of light.

Minimum Resolvable Angular Separation =  $\frac{1.22\lambda}{a}$

a = diameter of aperture

Also used as a limit in the maximum optical path difference (OPD) being less than  $\lambda/4$  as a measure of the image quality of an optical system.

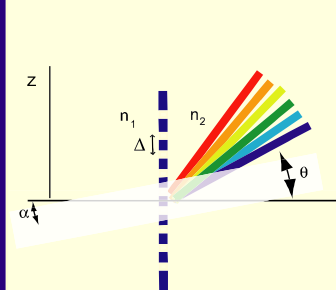
**Airy Disk**: The central illuminated region of the diffraction pattern formed by an ideal imaging system from a circular aperture.

**Strehl Ratio**: Ratio of the illumination of the center peak of the Airy Disk for an optical system relative to that of a perfect imaging system.

Strehl Ratio  $\sim \exp(-(2\pi\phi)^2)$   $\phi$ : RMS Optical Path Difference of optical system

### Diffraction Gratings (Fraunhofer, Planar Case)

#### Standard Grating



#### Diffraction Angle

Maxima for mth Order:

$$n_1 \sin(\alpha) - n_2 \sin(\theta) = (m\lambda/\Delta)$$

#### Diffraction Efficiency ( $\epsilon$ )

$$\epsilon_m = \gamma_m \gamma_m^*$$

$$\gamma_m = \frac{1}{\Delta} \int \tau(z) \exp(-i2\pi m z / \Delta) dz$$

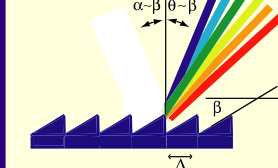
#### Resolving Power

$$\delta\lambda = \frac{\lambda}{Nm}$$

$\tau(z)$ : Transmission of grating as function of z

N: Number of periods,  $N \gg 1$

#### Blazed Grating



#### Diffraction Efficiency

$$\lambda - \lambda_b = m\lambda \quad \epsilon = 1 - \epsilon^{-1}$$

$m \neq 1, \epsilon = 0$

#### Angular Dispersion

$$\frac{d\theta(\lambda)}{d\lambda} = \frac{m}{\Delta \cos(\theta)}$$

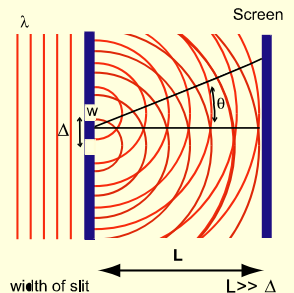
$\alpha$ : Angle of incidence

$\theta$ : Angle of diffraction

$\beta$ : Blaze Angle

$\lambda_b$ : Blaze Wavelength =  $2\Delta \sin(\beta)$

### Diffraction and Two Slit Interference (Fraunhofer Limit)



w: width of slit

$\Delta$ : Separation Between slits

$I_0$ : Intensity at center

N: Number of periods,  $N \gg 1$

#### Intensity at Screen as Function of $\theta$

**Single Slit**

$$\frac{I_\theta}{I_0} = \left[ \frac{\sin \left( \frac{\pi w \sin(\theta)}{\lambda} \right)}{\frac{\pi w \sin(\theta)}{\lambda}} \right]^2$$

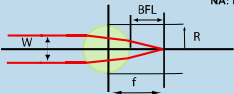
**Double Slit**

$$\frac{I_\theta}{I_0} = \left[ \cos \left( \frac{\pi \Delta \sin(\theta)}{\lambda} \right) \right]^2 \left[ \frac{\sin \left( \frac{\pi w \sin(\theta)}{\lambda} \right)}{\frac{\pi w \sin(\theta)}{\lambda}} \right]^2$$

## Microoptics and Fibers

### Ball Lens

W: Input Diameter or Aperture  
R: Radius of Ball Lens  
n: Index of Refraction of Lens  
NA: Numerical Aperture



$$f = \frac{nR}{2(n-1)} \quad BFL = f - R \quad NA = W \frac{(n-1)}{nR}$$

### Gradient Index Rods and Lens

Equation of ray in gradient index material

$$\frac{d}{dl} \left[ n(r) \frac{dr}{dl} \right] = \text{gradient}(n(r)) \quad l: \text{Arc distance parameter}$$

### Gradient Index Rod

Parabolic Index Profile

$$n(r) = n_c (1 - kr^2/2)$$

$n_c$ : Index of refraction of rod on axis

$$f_{rod} = \frac{1}{n_c(k)^{1/2} \tan [L(k)^{1/2}]}$$

L: Length of Gradient Index Rod

### Diffractive Lens

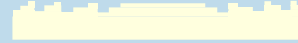
**Kineform (Fresnel Lens):** Surface with curve or sawtooth profiles used to shape the phase response of the wavefront. Used as diffractive lenses.

Kineform surface corresponding to refractive lens

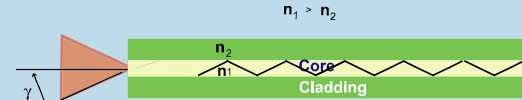


**Binary Surface:** Stepped surface used in diffractive lens design to simulate a kineform surface. Number of levels impacts diffraction efficiency of lens

Three level binary surface



### Optical Fibers



$r$ : radius of core  $v_c$ : A number between 1.5 and 3.5 (=2.405 for SMF)

Critical Angle for Total Internal Reflection ( $\theta_c$ ) =  $\text{Arcsin}(\frac{n_2}{n_1})$

$$NA = \sin[(n_1^2 - n_2^2)^{1/2}] = \sin(\gamma) \quad \text{Mode Volume Number (V)} = 2\pi \frac{r}{\lambda} NA$$

Mode Cutoff Radius

$$\lambda_c = 2\pi NA \left( \frac{r}{v_c} \right)$$

$$\text{Number of Modes (N)} = \frac{V^2}{4}$$

### Fiber Coupling Efficiency

$$\epsilon = \frac{\iint U(x,y)\Phi^*(x,y)dx dy}{\left[ \iint U(x,y)U^*(x,y)dx dy \iint \Phi(x,y)\Phi^*(x,y)dx dy \right]^{1/2}}$$

$\epsilon$ : Coupling Efficiency  
 $U$ : Intensity pattern of  
 $\Phi$ : Fiber Mode Pattern

Standard Core/Cladding in $\mu\text{m}$	Type	Typical Optical Loss @1550 nm (dB/km)
9/125	SMF	~0.25
50/125	MMF	~2.0
62.5/125	MMF	~2.5
100/140	MMF	~3.2
110/125	MMF	Not Used

SMF: Single Mode Fiber

MMF: Multimode Fiber

### Optical Properties

Material or Crystal	Approximate $\lambda$ Range	Index of Refraction	Coefficient of Thermal Expansion ( $10^{-6}/K$ )	Thermo-optic Coefficient (dn/dt) ( $10^{-6}/K$ )
Crown Glass	0.4 $\mu\text{m}$ ---1.8 $\mu\text{m}$	1.50 @ 1.6 $\mu\text{m}$	7.1	2.3 @ 1.06 $\mu\text{m}$
CaF <sub>2</sub>	0.15 $\mu\text{m}$ ---8.0 $\mu\text{m}$	1.42 @ 2.6 $\mu\text{m}$	18.9	-10.4 @ 0.66 $\mu\text{m}$
Fused Silica (IR Grade)	0.2 $\mu\text{m}$ ---2.5 $\mu\text{m}$	1.43 @ 1.8 $\mu\text{m}$	0.51	—
GaAs	1.0 $\mu\text{m}$ ---16.0 $\mu\text{m}$	3.31 @ 3.1 $\mu\text{m}$	5.0	250 @ 1.15 $\mu\text{m}$
Ge	2.0 $\mu\text{m}$ ---12.0 $\mu\text{m}$	4.09 @ 2.15 $\mu\text{m}$	5.7	462 @ 2.50 $\mu\text{m}$
GeO <sub>2</sub>	0.3 $\mu\text{m}$ ---4.9 $\mu\text{m}$	1.61 @ 2.15 $\mu\text{m}$	---	---
InAs	3.9 $\mu\text{m}$ ---19.0 $\mu\text{m}$	3.44	4.4	500 @ 4.0 $\mu\text{m}$
InP	0.95 $\mu\text{m}$ ---19.0 $\mu\text{m}$	3.09	4.5	83 @ 5.0 $\mu\text{m}$
KBr	0.3 $\mu\text{m}$ ---20.0 $\mu\text{m}$	1.54 @ 1.5 $\mu\text{m}$	38.5	-41.9 @ 1.15 $\mu\text{m}$
KCl	0.3 $\mu\text{m}$ ---15.0 $\mu\text{m}$	1.48 @ 0.98 $\mu\text{m}$	36.5	-36.2 @ 1.15 $\mu\text{m}$
LiF	0.12 $\mu\text{m}$ ---5.0 $\mu\text{m}$	1.75 @ 1.38 $\mu\text{m}$	34.4	-16.9 @ 1.15 $\mu\text{m}$
LiNbO <sub>3</sub>	0.5 $\mu\text{m}$ ---5.0 $\mu\text{m}$	2.214(o) 2.140(e)	14.8   a, 4.1   c	4.4 @ 0.66 $\mu\text{m}$ (o) 37.9 @ 0.66 $\mu\text{m}$ (e)
MgF <sub>2</sub>	0.2 $\mu\text{m}$ ---7.0 $\mu\text{m}$	1.38 @ 0.56 $\mu\text{m}$ (o) 1.39 @ 0.56 $\mu\text{m}$ (e)	9.4   a, 13.6   c	0.88 @ 1.15 $\mu\text{m}$ (o) 0.32 @ 1.15 $\mu\text{m}$ (e)
Si	1.2 $\mu\text{m}$ ---6.5 $\mu\text{m}$	3.48 @ 1.5 $\mu\text{m}$	2.62	166 @ 2.5 $\mu\text{m}$
$\beta$ -ZnS	0.6 $\mu\text{m}$ ---16.0 $\mu\text{m}$	2.258	6.8	49.8 @ 1.15 $\mu\text{m}$
ZnSe	0.5 $\mu\text{m}$ ---16.0 $\mu\text{m}$	2.44 @ 2.8 $\mu\text{m}$	7.1	59.7 @ 1.15 $\mu\text{m}$

### Thin Films

#### Antireflection (AR) Coating (Normal Incidence)

Condition for Interference at Reflection

$$n_0 < n_1 < n_2$$

$$m = 0, 1, 2, \dots$$

$$d = \frac{(m+1/2)\lambda}{2n_1}$$

$$d = \frac{m\lambda}{2n_1}$$

$$d = \frac{4n_1}{\lambda}$$

$$T(\lambda) = 1 - |\rho|^2 \quad R(\lambda) = |\rho|^2 \quad R+T=1$$

$$\rho = \frac{n_0(\lambda) - n_1(\lambda)}{n_0(\lambda) + n_1(\lambda)}$$

$$R = \frac{(n_0 - n_1)^2}{(n_0 + n_1)^2}$$

$$Z_q = \eta \left( \frac{Z_m \cos(2\pi ns/\lambda) + i\eta \sin(2\pi ns/\lambda)}{\cos(2\pi ns/\lambda) + i Z_m \sin(2\pi ns/\lambda)} \right)$$

$$\tau = \frac{2Z_m}{Z_m + \eta}$$

$$\rho = \frac{\eta_0 - \eta_1}{\eta_0 + \eta_1}$$

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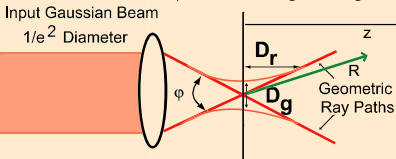
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### Gaussian Beam Optics

R: Radius of curvature of wavefront  
 $\phi$ : Far field divergence angle in radians



Radius of Curvature of Wavefront Growth

$$R(z) = z \left[ 1 + \left( \frac{\pi D_g^2}{4\lambda z} \right)^2 \right]$$

Beam Diameter Growth

$$D(z) = D_g \left[ 1 + \left( \frac{4\lambda z}{\pi D_g^2} \right)^2 \right]^{1/2}$$

#### Beam Waist Diameter (D<sub>g</sub>)

$$D_g = \frac{4\lambda}{\pi\phi}$$

#### Rayleigh Range (D<sub>r</sub>)

$$D_r = \frac{4\lambda}{\pi\phi^2}$$

#### Complex Beam Propagation Parameter

$$\frac{1}{Q} = \frac{1}{R} - \frac{i4\lambda}{\pi D_g^2} \quad Q(z) = \frac{i\pi D_g^2}{4\lambda} + z$$

#### Confocal Parameter (B)

$$B = 2D_r$$

### References

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### Websites

- Optical Society of America [www.osa.org](http://www.osa.org)
- SPIE [www.spie.org](http://www.spie.org)
- IEEE [www.ieee.org](http://www.ieee.org)
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